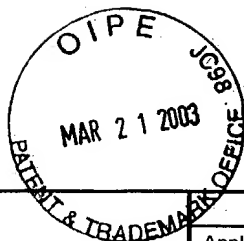


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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 5 of 8

Complete if Known

Application Number 09/321,766
Filing Date May 28, 1999
First Named Inventor Awadhesh K. MISHRA
Group Art Unit 1617
Examiner Name E. J. Webman
Attorney Docket Number 401730/SKYE PHARMA

FOREIGN PATENT DOCUMENTS

Examiner Initials	Doc. No.	Foreign Patent Document			Name of Patentee or Applicant	Date of Publication	Translation	
		Office	Application or Patent Number	Kind Code			Yes	No**
	146	DE	DE 2 513 797		Imperial Chemical Industries (see Doc. No. 14)	10/9/1975		X*
	147	DE	DE 2 938 807		Green Cross Corp.	11/13/1980		X*
	148	DE	DE 3 421 488		Dr. Rentschler Arzneimittel GmbH & Co. (see Doc. No. 86)	12/19/1985		X*
	149	EP	EP 0 052 322		Gersonde, Klaus (see Doc. No. 129)	5/26/1982		X*
α	150	EP	EP 0 193 208		Technicon Instruments Corp.	9/3/1986		
α	151	EP	EP 0 272 091		Vestar, Inc.	6/22/1988		
	152	EP	EP 0 330 532		Fournier Industrie (see Doc. No. 84)	8/30/1989		X*
α	153	EP	EP 0 391 369		Yissum Research Development Company of the Hebrew University of Jerusalem	10/10/1990		
	154	EP	EP 0 418 153		Medgenix Group, S.A. (see Doc. No. 87)	3/20/1991		X*
	155	EP	EP 0 456 670		B. Braun Melsungen AG	11/21/1991		X*
	156	EP	EP 0 456 764		B. Braun Melsungen AG	11/21/1991		X*
α	157	EP	EP 0 499 299		Sterling Winthrop Inc.	8/19/1992		
	158	EP	EP 0 570 829		Dietl, Hans, Dr. (see Doc. No. 104)	5/12/1993		X*
α	159	EP	EP 0 580 690		Sandoz, Ltd.	2/2/1994		
α	160	EP	EP 0 602 700		Sterlig Winthrop, Inc.	6/22/1994		
	161	EP	EP 0 724 877		Laboratories Fournier S.C.A. (see Doc. No. 130)	8/7/1996		X*
	162	EP	EP 0 757 911		CL Pharma (see Doc No. 124)	2/12/1997		X*
	163	EP	EP 0 605 497		Muller, Rainer	3/20/1996		
	164	FR	FR 2 617 047		Laboratories Fournier	3/20/1996		X*
α	165	GB	GB 1 527 638		Bayer Aktiengesellschaft	10/4/1978		
α	166	GB	GB 2,046,094		Technicon Instruments Corp.	9/3/1986		
	167	HU	HU 211 580B		F. GIS (see Doc. No. 89)	6/20/1995		X*
	168	JP	JP1502590		A. Nattermann & Cie (see Doc. No. 176)	11/12/1980		X*
	169	JP	JP55141407		A. Nattermann & Cie (see Doc. No. 31)	11/5/1980		X*
	170	JP	JP 56167616		Green Cross Corp. (see Doc. No. 40)	5/15/1980		X*
	171	JP	JP60208940		A. Nattermann & Cie	11/5/1980		X*

Examiner Signature

[Signature]

Date Considered

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Sheet 6 of 8

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Application Number	09/321,766
Filing Date	May 28, 1999
First Named Inventor	Awadhesh K. MISHRA
Group Art Unit	1617
Examiner Name	E. J. Webman
Attorney Docket Number	401730/SKYE PHARMA

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Examiner Initials	Doc. No.	Foreign Patent Document			Name of Patentee or Applicant	Date of Publication	Translation	
		Office	Application or Patent Number	Kind Code			Yes	No**
	172	JP	JP03233915	98	Green Cross Corp. (see Doc. No. 98)	10/21/1985		X ⁺
	173	JP	JP03502117		Liposome Technology, Inc. (see Doc. No. 175)	8/13/1987		X ⁺
2	174	WO	WO 85/00011		University of Miami	1/3/1985		
	175	WO	WO 87/04592		Liposome Technology, Inc.	8/13/1987		
	176		WO 88/04924		Liposome Technology, Inc.	7/14/1988		
	177	WO	WO 91/04011		Riker Laboratories, Inc.	4/4/1991		
	178	WO	WO 00/10531		RTP Pharma, Inc.	3/2/2000		
	179	WO	WO 00/30616		RTP Pharma, Inc.	6/2/2000		
	180	WO	WO 00/40219		Dexcel, Ltd.	7/13/2000		
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RB	182	WO	WO 01/30372		Bask Aktiengesellschaft	5/3/2001		
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	186	WO	WO 96/24332		Nanosystems, LLC	8/15/1996		
	187	WO	WO 97/14407		Research Triangle Pharmaceuticals	4/24/1997		
	188	WO	WO 99/29300		RTP Pharma, Inc.	6/17/1999		
	189	WO	WO 99/29316		Cyclosporine Therapeutics, Ltd.	6/17/1999		
	190	WO	WO 99/49846		RTP Pharma, Inc.	10/7/1999		
2	191	WO	WO 99/49848		RTP Pharma, Inc.	10/7/1999		

OTHER - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation	
			Yes	No**
2	192	ROSS et al., "Aqueous Solutions of Surface-Active Solutes", <i>Colloidal Systems and Interfaces</i> , © 1988, pp. 148-151.		
	193	SANDE et al., "Antimicrobial Agents: Antifungal and Antiviral Agents", pp. 1219-1222		
	194	BITTMAN, ROBERT, "Sterol-Polyene Antibiotic Complexation: Probe of Membrane Structure," <i>Lipids</i> , Vol. 13, No. 10, pp. 686-691 (1978).		
	195	MISHRA et al., "Scientifically Speaking: Novel Injectable Formulations of Water-Insoluble Drugs", <i>Controlled Release Newsletter</i> , Vol. 17, Issue 2, (June 2000), pp. 21-30		
	196	BANGHAM et al., "Diffusion of Univalent Ions Across the Lamellae of Swollen Phospholipids", <i>J. Mol. Biol.</i> (1965) 13, pp. 238-252		
2	197	HUANG et al., "Interaction of the N-terminus of Sterol Carrier Protein 2 with Membranes: Role of Membrane Curvature", <i>Biochem. J.</i> (1999) Vol. 8, pp. 593-603		

Examiner Signature

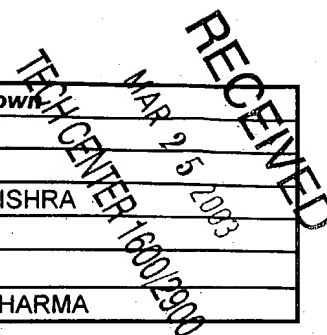
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Substitute for form 1449A/B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	09/321,766
				Filing Date	May 28, 1999
				First Named Inventor	Awadhes K. MISHRA
				Group Art Unit	1617
				Examiner Name	E. J. Webman
Sheet	7	of	8	Attorney Docket Number	401730/SKYEPHARMA

OTHER - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation		
			Yes	No**	
J	198	GREGORIADIS, GREGORY, "The Carrier Potential of Liposomes in Biology and Medicine", <i>New Engl. J. Med.</i> , (1976) Vol. 295, No. 13, pp. 704-710			
	199	CUDD et al., "Liposomes Injected Intravenously into Mice Associate with Liver Mitochondria", <i>Biochem. Biophys. Acta</i> , (1984) Vol. 774, pp. 169-180			
	200	BENZ et al., "Electrical Capacity of Black Lipid Films and of Lipid Bilayers Made from Monolayers", <i>Biochem. Biophys. Acta</i> , (1975) Vol. 394, pp. 323-334			
	201	GOODMAN and GILLMAN'S, "The Pharmacological Basis of Therapeutics," 7 th Ed., <i>MacMillan Publishing Co.</i> , New York (1985) Chap. 15, p. 312			
	202	CHERNEY, L.S., "Tetracaine Hydroiodide: A Long Lasting Local Anesthetic Agent for the Relief of Postoperative Pain", <i>Anesth. Analg.</i> (1963) Vol. 42, No. 4, pp. 477-481			
	203	HAYNES et al., "Metal-Ligand Interactions in Organic Chemistry and Biochemistry", <i>B. Pullman and N. Goldblum (eds.)</i> , part 2, (1977), pp. 189-212			
	204	HAYNES et al., "Ultra-Long Duration Local Anesthesia Produced by Injection of Lecithin-coated Methoxyflurane Microdroplets", <i>Anesthesiology</i> (1985) Vol. 63, No. 5, pp. 490-499			
	205	HAYNES et al., "Ultra-Long Duration Local Anesthesia Produced by Intra-Dermal Injection of Lecithin-Coated Methoxyflurane Microdroplets", <i>Proceed. Intern. Symp. Control. Rel. Bioact. Mater.</i> , (1987) Vol. 14, pp.293-294			
	206	KIRKPATRICK et al., "Local Anesthetic Efficacy of Methoxyflurane Microdroplets in Man", <i>Anesthesiology</i> (1987) 67(3A): A254			
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	208	"Derived Diameters and Distribution Statistics," from an unknown web-site, 6 pages			
	209	"Getting Started", Man 0106, Issue 1.0, (Jan. 1996), <i>Malvern Instruments Ltd.</i> , England, pp. 7.1-7.7			
	210	CHULIA et al., Powder Technology and Pharmaceutical Processes, (1994), pp. 66-67.			
	211	HERBERT A. LEIBERMAN and LEON LACHMAN, Eds., <i>Pharmaceutical Dosage Forms, Tablets</i> , Vol. 1, (1980), p. 13			
J	212	MIYAJIMA, KOICHIRO, "Role of Saccharides for the Freeze-Thawing and Freeze-Drying of Liposome", <i>Advanced Drug Delivery Review</i> , Vol. 24, (1997), pp. 151-159			
	213	BUCHMULLER et al., "Cryopol: Ein neues Verfahren zum Pelletieren und Ersten Biologischer Substrate," <i>Gas Aktuell</i>, 35, 1(989), pp. 10-13			X
J	214	WU et al., "Pharmacokinetics of Methoxyflurane After Its Intra-Dermal Injection as Lecithin-Coated Microdroplets", <i>Journal of Controlled Release</i> , (1989), Vol. 9, pp. 1-12			
J	215	PACE et al., "Novel Injectable Formulations of Insoluble Drugs", <i>Pharmaceutical Technology</i> , Vol. 23, No. 3, (March 1999), pp. 116-134			
	216	ROMPP'S CHEMIE-LEXIKON, 2 Aufl., Bd. 1, (1950), Stichwort, "Emulsion"			X
	217	BERGMANN, LUDWIG, <i>Der Ultraschall</i>, 5 Aufl., (1949), Stuttgart, S. 551-564, 672f			X
J	218	LEHNINGER BIOCHEMISTRY, "The Molecular Basis of Cell Structure and Function", (1970) Chapter 10			

Examiner Signature		Date Considered	5/04
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Sheet	1	of	8	Application Number	09/321,766
				Filing Date	May 28, 1999
				First Named Inventor	Awadhesh K. MISHRA
				Group Art Unit	1617
				Examiner Name	E. J. Webman
				Attorney Docket Number	401730/SKYE PHARMA

U.S. PATENT DOCUMENTS

Examiner Initials	Doc. No.	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication	Filing Date If Appropriate
		Application or Patent Number	Kind Code			
	1	2803582		Cherney	8/20/1957	
	2	3137631		Soloway	6/16/1964	
	3	3216897		Krantz, Jr.	11/9/1965	
	4	3274063		Nieper et al.	9/20/1966	
	5	3594476		Merrill	7/20/1971	
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	7	3755557		Jacobs	8/28/1973	
	8	3794476		Michalik et al.	2/26/1974	
	9	3937668		Zolle	2/10/1976	
	10	3960757		Morishita et al.	6/1/1976	
	11	3965255		Bloch et al.	6/22/1976	
	12	4016100		Suzuki et al.	4/5/1977	
	13	4053585		Allison et al.	10/11/1977	
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	15	4073943		Wretlind et al.	2/14/1978	
	16	4078052		Papahadjopoulos	3/7/1978	
	17	4089801		Schneider	5/16/1978	
	18	4102806		Kondo et al.	7/25/1978	
	19	4107288		Oppenheim et al.	8/15/1978	
	20	4133874		Miller et al.	1/9/1979	
	21	4145410		Sears	3/20/1979	
	22	4147767		Yapel, Jr.	4/3/1979	
	23	4186183		Steck et al.	1/29/1980	
	24	4219548		Reller	8/26/1980	
	25	4235871		Papahadjopoulos et al.	11/25/1980	
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Examiner Signature		Date Considered	5/04
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Sheet	2	of	8	Application Number	09/321,766
				Filing Date	May 28, 1999
				First Named Inventor	Awadhes K. MISHRA
				Group Art Unit	1617
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U.S. PATENT DOCUMENTS

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		Application or Patent Number	Kind Code			
J	40	4340594		Mizushima et al.	7/20/1982	
	41	4345588		Widder et al.	8/24/1982	
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Examiner Signature		Date Considered	5/64
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				Group Art Unit	1617
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J	77	4806352		Cantrell	2/21/1989	
J	78	4826687		Nerome et al.	5/2/1989	
	79	4839111		Huang	6/13/1989	
	80	4880634		Speiser	11/14/1989	
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	82	4961890		Boyer	10/9/1990	
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	94	5302401		Liversidge et al.	4/12/1994	
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	97	5326552		Na et al.	7/5/1994	
	98	5346702		Na et al.	9/13/1994	
	99	5352459		Hollister et al.	10/4/1994	
	100	5364633		Hill et al.	11/15/1994	
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	103	5447710		Na et al.	9/5/1995	
	104	5527537		Dietl	6/18/1996	
	105	5545628		Deboeck et al.	8/13/1996	
	106	5552160		Liversidge et al.	9/3/1996	
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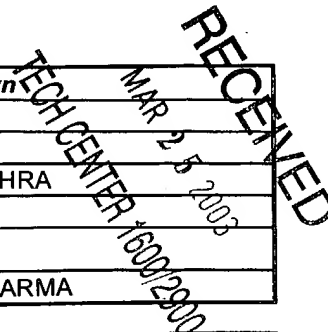
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Application Number 09/321,766
Filing Date May 28, 1999
First Named Inventor Awadhesh K. MISHRA
Group Art Unit 1617
Examiner Name E. J. Webman
Attorney Docket Number 410730/SKYE PHARMA

Sheet 4 of 8



U.S. PATENT DOCUMENTS

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		Application or Patent Number	Kind Code			
J	115	5637625		Haynes	6/10/1997	
	116	5639474		Woo	6/17/1997	
	117	5645856		Lacy et al.	7/8/1997	
	118	5656289		Cho et al.	8/12/1997	
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Examiner Signature

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Date Considered

5/04

- * A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).
- + An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).

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Substitute for form 1449A/B/PTO <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(Use as many sheets as necessary)</p>		Complete if Known	
Application Number	09/321,766		
Filing Date	May 28, 1999		
First Named Inventor	Awadhesh K. MISHRA		
Group Art Unit	1617		
Examiner Name	E. J. Webman		
Attorney Docket Number	401730/SKYE PHARMA		

OTHER - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation	
			Yes	No**
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Examiner Signature		Date Considered	5/04
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